

SECURITIES AND EXCHANGE BOARD OF INDIA
ADDENDUM
IN THE MATTER OF ALLEGED MARKET MANIPULATION USING GDR ISSUES BY CAT
TECHNOLOGIES LIMITED –

1. Securities and Exchange Board of India had passed an Order dated April 3, 2019 bearing reference number *WTM/GM/ EFD / 1 /2019–20* (hereinafter referred to as “**Order**”), *inter alia* against Laxmi Pershad Jaiswal (“**Noticee**”) in the matter of alleged market manipulation using GDR Issues by Cat Technologies Limited. Vide the *Order*, the Noticee was prohibited from accessing the capital market directly or indirectly and dealing in securities or instruments with Indian securities as underlying, in any manner whatsoever, for a period of **five years** from the date of the *Order*.
2. Pursuant to the *Order*, SEBI received a letter dated June 12, 2019, from the authorised representative for the legal heirs of the Noticee i.e. Joby Mathew Advocate, forwarding the death certificate of the Noticee as issued by the Greater Hyderabad Municipal Corporation on February 23, 2019. Accordingly, it was requested that SEBI may take on record the death certificate and issue necessary directions for abatement of the proceedings against the Noticee.
3. Upon a consideration of the above, it is hereby clarified that the prohibition imposed on the Noticee vide paragraph 68(ii) of the *Order* shall stand abated.

Place: Mumbai
Date: June 18, 2019

G. MAHALINGAM
WHOLE TIME MEMBER
SECURITIES AND EXCHANGE BOARD OF INDIA